# Slab buckling as a driver for rapid oscillations in plate motion and subduction rate

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#### Abstract

Plate tectonics is primarily driven by the constant gravitational pull of slabs where dense oceanic lithosphere sinks into the mantle at subduction zones. Under stable plate boundary configurations, changes in plate motion are then thought to occur gradually. Surprisingly, recent high-resolution Indian plate reconstructions revealed rapid (2-3 Ma) plate velocity oscillations of  $\pm 50$  %. Here we show, through numerical experiments, that the buckling of slabs in the mantle transition zone causes such oscillations. This buckling results from the deceleration of slabs as they sink into the lower mantle. The amplitude and period of buckling-associated oscillations depend on average subduction velocity and transition zone accommodation space. The oscillations also affect the upper plate which may explain enigmatic observations of episodic deformation and fluid flow in subduction-related orogens. We infer that the slab pull that drives plate tectonics is generated in just the top few hundred kilometers of the mantle.

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# 19 Abstract

20 Plate tectonics is primarily driven by the constant gravitational pull of slabs where dense 21 oceanic lithosphere sinks into the mantle at subduction zones. Under stable plate boundary 22 configurations, changes in plate motion are then thought to occur gradually. Surprisingly, 23 recent high-resolution Indian plate reconstructions revealed rapid (2-3 Ma) plate velocity 24 oscillations of ±50 %. Here we show, through numerical experiments, that the buckling of slabs in the mantle transition zone causes such oscillations. This buckling results from the 25 26 deceleration of slabs as they sink into the lower mantle. The amplitude and period of 27 buckling-associated oscillations depend on average subduction velocity and transition zone 28 accommodation space. The oscillations also affect the upper plate which may explain 29 enigmatic observations of episodic deformation and fluid flow in subduction-related 30 orogens. We infer that the slab pull that drives plate tectonics is generated in just the top few hundred kilometers of the mantle. 31

# 32 Plain-Language Summary

33 Motions of tectonic plates are relatively stable over 10s of millions of years and are mainly driven by 34 the gravitational pull of the subducting part of the plate. However, new data from the Indian plate 35 shows that these velocities may vary rapidly in magnitude. Deeper in the Earth's mantle the 36 deceleration of subducted plates, as they encounter more resistance, causes them to fold. Our 37 models show that this folding can cause the rapid variations of plate motion at short (2-3 million 38 year) timescales and that these variations may also cause episodic deformation of the overriding 39 plate. We propose new insights in the range (depth) at which the gravitational pull of a subducting 40 plate may still influence its plate motion.

41

# 42 1. Introduction

Plate kinematic reconstructions provide the quantitative constraints that underpin our
understanding of the driving and resisting forces of plate tectonics: primarily slab pull and to a lesser
extent ridge push as driving forces (Forsyth & Uyeda, 1975; Lithgow-Bertelloni & Richards, 1998),
and mantle drag as either driving or resisting plate motion (particularly by continental keels or
slabs), and the resistance on subduction interfaces, as main additional forces (Behr & Becker, 2018;
Coltice et al., 2019; Spakman et al., 2018). An important constraint on plate reconstruction and
relative plate motions since the Mesozoic is provided by marine magnetic anomalies that reveal

50 plate motion change on various temporal scales. Reconstructions of major ocean basins usually 51 provide one average Euler pole (plate motion data point) for stages of 3-10 Ma (e.g. Müller et al., 52 2019), even though often more magnetic anomalies can be present in such stages. Such 53 reconstructions reveal gradually changing plate motions on tens of millions of year time scales with 54 occasional sudden cusps in plate motion between stages (Doubrovine et al., 2012; Müller et al., 55 2022; Torsvik et al., 2008). Gradual plate motion changes can be explained by changes in slab pull for 56 example due to slow age variation of subducting lithosphere (Goes et al., 2011; Sdrolias & Müller, 57 2006), or in the lubrication of plate contacts (Behr & Becker, 2018). Cusps may correspond to 58 changes in contributing forces through e.g., changes in slab pull due to subduction initiation or arrest 59 (Gürer et al., 2022; Hu et al., 2022), by slab detachment (Bercovici et al., 2015) or resistance to 60 subduction of large oceanic plateaus (Knesel et al., 2008), the arrival of a mantle plume-head that 61 may lubricate or push plates (van Hinsbergen et al., 2011; van Hinsbergen et al., 2021), or to the 62 decrease of a plate area through breakup (e.g., Wortel & Cloetingh, 1981). Only recently, high-63 resolution (~0.5-1 Ma) plate kinematic reconstructions of India-Africa spreading during the Eocene 64 (DeMets & Merkouriev, 2021) revealed surprisingly variable ocean spreading kinematics.

65 It has long been known that the spreading rate between India and Africa, and the 66 convergence rate between India and Asia, between ~65 and ~50 Ma, was very high, close to 20 cm/a 67 (Patriat & Achache, 1984; van Hinsbergen et al., 2011). Those estimates were based on about one 68 Euler pole every ~5 Ma. White & Lister (White & Lister, 2012) suspected that shorter-wavelength 69 plate velocity oscillations may have occurred although being smoothed out in existing global plate 70 tectonic reconstructions. Their suspicion was recently corroborated by the high-resolution magnetic 71 anomaly study of (DeMets & Merkouriev, 2021), which revealed that the period of high India-Asia 72 convergence rate contained rapid oscillations with an amplitude 10 cm/a or more at a period of 6-8 73 Ma (Figure 1). Such plate motion variations suggest that a hitherto unrecognized process plays a role 74 that causes oscillating changes in either slab pull, or friction, or both that perhaps becomes more 75 pronounced with higher rates of subducting plate motion.

76 Subducting plate motions and changes therein must be accommodated in the underlying 77 mantle. Correlations between imaged mantle structure and the global geological record of 78 subduction show that the remnants of detached slabs in the lower mantle sink with rates of ~1-1.5 79 cm/a, almost regardless of the rate at which they subducted at a trench (Butterworth et al., 2014; 80 Van Der Meer et al., 2010; Van der Meer et al., 2018). Therefore, subducting slabs eventually 81 decelerate from plate tectonic rates (up to 20-25 cm/a (Hu et al., 2022; Zahirovic et al., 2015) to 82 average lower mantle sinking rates of <1.5 cm/a. To accommodate this requires some form of slab 83 shortening or thickening. Subduction modelling revealed that this deceleration naturally leads to

84 slab thickening, which could occur in the mantle transition zone through slab buckling (Goes et al., 85 2017; Ribe et al., 2007; Sigloch & Mihalynuk, 2013). Later, detailed tomographic analyses of slabs in 86 the mantle transition zone and in the top of the lower mantle confirmed that they are systematically 87 buckled (Chen et al., 2019; Wu et al., 2016). Tomography of the lower mantle below India has 88 revealed a major slab that is widely interpreted to represent the subducted Neotethys ocean, and 89 that also contains the lithosphere that subducted between 65 and 50 Ma (Parsons et al., 2021; 90 Qayyum et al., 2022; Replumaz et al., 2004; Van der Voo et al., 1999). The enormous volume of this 91 slab requires that it was drastically thickened, and while tomographic detail so far has not been able 92 to resolve internal structure, the documentation that slabs buckle during thickening elsewhere 93 (Chen et al., 2019; Wu et al., 2016) makes it feasible that this process also played a role here. Such 94 buckling, which potentially may become more pronounced with faster subduction, makes slabs fold 95 backward and forward, creating an oscillating slab dip and slab motion (Billen & Arredondo, 2018; 96 Čížková & Bina, 2013; Garel et al., 2014; Holt et al., 2015; Lee & King, 2011; Schellart, 2005; Xue et 97 al., 2022). Here, we hypothesize that pronounced slab buckling causes the rapid, large-amplitude 98 Eocene plate motion fluctuations of India.

99 To test this hypothesis, we conduct numerical experiments with decoupled, freely 100 subducting plates that buckle in the mantle transition zone, creating periodically changing plate 101 motions (Pokorný et al., 2021). We evaluate under which conditions fluctuations such as those 102 reported for the India plate may occur. We will discuss our results in terms of the implications for 103 our understanding of the driving forces of plate tectonics, and how obtaining detailed marine 104 magnetic anomaly records may aid improving the predictive power of plate tectonic reconstructions 105 for applications to plate boundary deformation and magmatic or mineralization processes.



#### 106

#### 107 Figure 1 – Indian plate motion history

- 108 Indian plate velocity relative to Eurasia from 60 Ma ago to 20 Ma ago. Shown are the reconstructed
- velocities of the Indian plate from DM21 (DeMets & Merkouriev, 2021), WL12 (White & Lister, 2012)
- and vH11 (van Hinsbergen et al., 2011). Blue and grey rectangles indicate error margins in
- 111 reconstructions and time interval spanned by each stage velocity.



# 112113 Figure 2 – Model setup

114 Model domain is 10 000 km wide and 2000 km deep. Dashed lines indicate major phase transitions 115 at 410 and 660 km depth. Red line positioned at the top of the subducting slab indicates a 10 km 116 thick weak crustal layer, effectively separating the plates. Two black asterisks represent tracers used 117 to track the velocity of the subducting plate and overriding plate. Free slip boundary condition is 118 prescribed on all boundaries.

# 119 2. Methods and model setup

120 A set of partial differential equations in an extended Boussinesg approximation (Ita & King, 121 1994) (EBA) is used to describe our numerical model of subduction. These equations are solved by a 122 finite element method implemented in the SEPRAN package (Segal & Praagman, 2005; van den Berg 123 et al., 2015). Our model domain is represented by a 2D box 10,000 km wide and 2,000 km deep 124 (Figure 2). The subducting plate stretches from the ridge in the upper left corner to the trench in the 125 middle of the upper surface. The initial temperature distribution in the subducting plate follows a 126 half-space model followed by an adiabatic profile with a potential temperature of 1573 K beneath it. 127 We carried out two sets of simulations with similar matching parameters. The first set with 128 an overriding plate that is allowed to move freely (subduction with possible rollback), while the 129 second set features a fixed overriding plate (stationary trench – restricted rollback). Figure 3 130 illustrates time evolution of a reference model for both sets of simulations. In these reference 131 models we assume a subducting and overriding plate age of 100 Ma at the trench and the viscosity of the crustal decoupling layer of  $10^{20} Pa \cdot s$ . 132 Models of the first set have a mobile overriding plate with a ridge in the upper right corner. 133

134 The rollback of trench induces the motion of the entire overriding plate towards the left, which is facilitated by the presence of a hot and low-viscosity mid-ocean ridge. The second set of models has 135 136 a stagnant overriding plate with an age increasing from approximately ~17 Ma at the right-hand side 137 to 100 Ma (i.e., for the reference model) at the trench. Cold and thus strong overriding plates cannot 138 move to the left because of the impermeable free slip condition on the right vertical boundary. 139 Therefore, rollback is prohibited and the trench remains stagnant during the model run. We 140 evaluated the effects of the age of the subducting and overriding plates (Capitanio et al., 2010; Garel 141 et al., 2014) – we tested ages at the trench ranging from 50 Ma to 200 Ma.

To obtain an initial slab with sufficient negative buoyancy that would facilitate subduction, we first execute a short kinematic run to develop the slab tip to a depth of approximately 200 km. Within this kinematic prerun a constant convergence velocity of 2.5 cm/a is prescribed on the top of the subducting plate. After 6 Ma the kinematic boundary condition is turned off and an impermeable free slip is prescribed on all boundaries.

Top and bottom model boundaries are considered isothermal with respective temperatures of 273 K and 2132 K while the vertical boundaries have zero heat flux. Thermal diffusivity is constant  $10^{-6} m^2 s^{-1}$  while thermal expansivity is depth dependent (Katsura et al., 2009) and decreases from 3 × 10<sup>-5</sup> K<sup>-1</sup> at the surface to 1.2 × 10<sup>-5</sup> K<sup>-1</sup> at the bottom of the model domain (Hansen et al., 1993).

152 We consider the major mantle phase transitions: the polymorphous exothermic transition of 153 forsterite to wadsleyite at 410 km depth and the endothermic transition of ringwoodite to 154 bridgmanite and periclase at a depth of 660 km with their associated petrological density contrasts 155 (Supplementary Table 1). These are incorporated through the harmonic parameterization (Čížková et 156 al., 2007) of a phase function (Christensen & Yuen, 1985). We performed a parametric study where 157 we varied the values of Clapeyron slopes in a usually accepted range ( $\gamma_{410} = 1 - 3$  MPa/K, 158  $\gamma_{660} = -2.5 - (-1.5)$  MPa/K). All these models result in quasiperiodic buckling of the slab. The 159 strengths of the phase transitions control slab dip angle and related rollback velocity, the ability to 160 penetrate the lower mantle as well as slab viscosity in the transition zone. These factors than affect 161 observed periods of the oscillations that vary between  $\sim 10-20$  Ma. Based on this parametric study 162 we chose the values of Clapeyron slopes of 3 MPa/k and -1.5 MPa/K for the 410 km and 660 km 163 phase transitions. These values were chosen to accommodate realistic average subduction velocities 164 (Zahirovic et al., 2015) with fast plate velocity oscillations (DeMets & Merkouriev, 2021) while still 165 agreeing with in-situ X-ray diffraction experiments and thermodynamic estimates (Bina & Helffrich, 166 1994; Katsura et al., 2004; Morishima et al., 1994; Su et al., 2022).

167 To evaluate the subducting plate velocity and trench retreat velocity in our models we use 168 two passive particles, one initially positioned in the subcrustal lithosphere of the subducting plate 169 (~4600 km left of the trench) and the other one in the overriding plate close to the trench (Figure 2). 170

171 2.1 Rheological description

Our subduction model incorporates crustal and mantle material. A low-viscosity crustal layer facilitating mechanical decoupling of the subducting and overriding plate is initially positioned along the top of the subducting plate and within the subduction channel (Figure 2). Crustal material is tracked using 2 million tracers prescribed in the crust and its closest vicinity. The initial thickness of the crustal layer is 10 km. Upper mantle material is described by a composite rheology model (Čížková et al., 2002; van den Berg et al., 1993) combining dislocation creep, diffusion creep and a power-law stress limiter which effectively approximates the Peierls creep (Androvičová et al., 2013). In the diffusion and dislocation creep equations (equations 1 and 2), the pressure and temperature dependence of viscosity follows Arrhenius law:

182

183 
$$\eta_{diff} = A_{diff}^{-1} exp\left(\frac{E_{diff} + pV_{diff}}{RT}\right)$$
 (1)

184 
$$\eta_{disl} = A_{disl} \stackrel{-1/n}{=} \varepsilon_{||}^{(1-n)/n} \exp\left(\frac{E_{disl} + pV_{disl}}{nRT}\right)$$
(2)

185 
$$\eta_y = \sigma_y \dot{\varepsilon_y}^{-(1/n_y)} \dot{\varepsilon_{||}}^{(1/n_y)-1}$$
 (3)

186 
$$\frac{1}{\eta_{eff}} = \frac{1}{\eta_{diffl}} + \frac{1}{\eta_{disl}} + \frac{1}{\eta_y}.$$
 (4)

187

Here  $A_{diff/disl}$ ,  $E_{diff/disl}$ ,  $V_{diff/disl}$  are pre-exponential parameter, activation energy, activation volume for diffusion and dislocation creep,  $\vec{e}_{||}$  is the second invariant of the strain rate tensor and n is the power-law exponent of the dislocation creep. A power law stress limiter viscosity is parametrized through the yield stress  $\sigma_y$ , reference strain rate  $\dot{e}_y$  and a power-law exponent  $n_y$ , which is set to 10 in our models (equation 3). Assuming unique stress, individual creep mechanism viscosities are combined into the effective viscosity through equation 4.

194 The lower mantle deformation is assumed to be mainly through diffusion creep (Karato et 195 al., 1995), therefore we take  $\eta_{eff} = \eta_{diff}$  in the lower mantle. Prefactor  $A_{diff}$  and activation 196 parameters of lower mantle diffusion creep are based on slab sinking speed analysis (Čížková et al., 197 2012).

198 The crust in our models is mostly assumed to have constant viscosity in a range of 199  $\eta_c = 5x10^{19} - 5x10^{20} Pa s$ . We have also conducted several tests with the composite nonlinear 200 rheology of the crust (Pokorný et al., 2021) combining dislocation creep (Ranalli, 1995) and a Byerlee 201 type deformation (Karato, 2008) as an approximation of the brittle failure (pseudoplastic 202 deformation). In these models, dislocation creep viscosity follows equation 5 (similar to equation 2), 203 but the parameters  $A_c$ ,  $E_c$ ,  $V_c$  and  $n_c$  differ from mantle parameters of equation 2 – see table. 204

205 
$$\eta^{c}_{disl} = A_{c}^{-1/n_{c}} \dot{\varepsilon}_{||}^{(1-n_{c})/n_{c}} exp\left(\frac{E_{c}+pV_{c}}{n_{c}RT}\right)$$
 (5)

206

207 Pseudoplastic deformation limits the maximum stress in the crust to  $\sigma_y^c$ , where this stress 208 limit increases with lithostatic pressure p through equation 6, here  $\tau_c$  is the cohesion and  $\mu$  is the friction coefficient. The pseudoplastic viscosity  $\eta_{pl}$  is then defined by equation 7 and the effective crustal viscosity is given by equation 8.

(6)

212 
$$\sigma_y^c = \tau_c + \mu p$$
,

213 
$$\eta_{pl} = \frac{\sigma^c_y}{2\varepsilon_{||}}.$$
 (7)

214 
$$\frac{1}{\eta^c_{eff}} = \frac{1}{\eta^c_{disl}} + \frac{1}{\eta^c_{pl}}.$$
 (8)

# 3. Results

216 We conducted experiments in a 2D numerical model of subduction (see methods). The 217 rheology of the upper and lower mantle (Čížková & Bina, 2013, 2019; Čížková et al., 2012) was 218 chosen to accommodate typical subduction velocities (Zahirovic et al., 2015) that in the upper 219 mantle exceed the inferred lower mantle average slab sinking rates (Van der Meer et al., 2018). This 220 mantle rheology leads to slab shortening and buckling in the upper-to-lower mantle transition zone 221 (MTZ). We experimented with varying lithospheric ages to assess the effect of varying oceanic 222 lithosphere thickness, and with varying crustal viscosities to assess the effect of average plate 223 motion on the amplitude and period of the plate motion. We conducted one group of experiments, 224 with a free overriding plate which leads to slab rollback and results in low angle buckling with 225 multiple buckles (partly) present in above the 660 km discontinuity, and lower net lower mantle slab 226 sinking rates (Figure 3a-g). Another group of experiments implements a fixed overriding plate that 227 suppresses the development of rollback, such that subduction occurs at a mantle-stationary trench 228 (Figure 3h-n). This generates buckling into a near-vertical slab-pile (Běhounková & Čížková, 2008) 229 that slowly sinks into the lower mantle leaving at any time only one buckle present above the 660 230 km discontinuity.

231 Slab shortening occurs through the combined resistance of the more viscous lower mantle 232 and the endothermic phase change at the 660 km boundary, while the shallower part of the slab is 233 continuously pulled by the exothermic phase change at 410 km (see methods). Buckling of the 234 shortening slab is influenced by the non-linear rheology of the slab that results from the presence of 235 a crust and lithospheric mantle layer (Pokorný et al., 2021). We assess the horizontal velocity of the 236 subducting plate V<sub>SP</sub> and upper plate V<sub>UP</sub> as an effect of lithospheric thickness (corresponding to the 237 age of lithosphere at the trench) or through weakening subduction interfaces (crustal viscosity) to 238 evaluate causal relationships between subduction dynamics and oscillating plate motions.



### 239



Zoomed-in viscosity snapshots (4800x2000 km) of the model for 80 Ma of model time. Grey lines

indicate position of the major phase transition at 410 and 660 km depth with the values of

243 Clapeyron slopes of 3 and -1.5 MPa/K, respectively. Black dots are reference points used to calculate

244 plate velocities. A-G) Reference model with free moving overriding plate resulting in trench retreat

245 and an inclined slab in the lower mantle. H-N) Reference model with a stationary trench creating a

246 vertical lower mantle slab.

#### 247 3.1 Slab buckling in the reference models

248 Figure 3 shows two reference experiments for the model setups with and without roll-back. These have a crustal viscosity of  $10^{20} Pa \cdot s$  and overriding and subducting plate ages at the trench 249 250 of 100 Ma. In the model with a mobile overriding plate (Figure 3 a-g), the slab undergoes a rapid, 251 vertical descent through the upper mantle and the tip reaches the 660 km discontinuity after 252 approximately 5 Ma model time (Supplementary Movie – panel A). The slab in the transition zone 253 experiences down-dip compression which leads to (nonlinear) rheological weakening, causing the 254 slab to buckle forwards (Figure 4a) (i.e., towards the overriding plate) over the trapped tip that 255 started to penetrate the 660 km discontinuity. Next, the slab buckles backward (i.e. towards the 256 downgoing plate). This leads to an episode of roll-back and short-lived V<sub>SP</sub> increase until the slab is 257 almost vertically orientated at t = 11 Ma (Figure 4b). This is followed by the initiation of a second 258 forward buckle, folding the slab over its deeper part in the MTZ, between t = 11 Ma and 18 Ma 259 (Supplementary movie – panel A), associated with rollback and a decrease of  $V_{SP}$  and increase of  $V_{UP}$ 260 (Figure 4b & 5a). This forward buckle starts tightening at t= 18 Ma, inducing the next backward 261 buckle which is followed by a rapid increase of  $V_{SP}$  up to 12 cm/a, accompanied by a decrease of  $V_{UP}$ 262 to almost 0 cm/a (Figure 5a). At t=20 Ma the next forward buckle initiated (Figure 3c), resulting again 263 in an episode of rollback with decreasing  $V_{SP}$  and increasing  $V_{UP}$  (Figure 4a & 5a).



#### 264

#### 265 Figure 4 – Illustrated effect of slab buckling on upper mantle slab geometry

A cartoon illustrating forward (A) and backward (B) slab buckling as result of the interplay of the slab with the phase transitions and the lower mantle. During forward buckling the slab in the MTZ

268 advances while the trench retreats, accompanied by a decreasing V<sub>SP</sub> and increasing V<sub>UP</sub>. The

269 backward buckle allows the slab to sink fast in the MTZ with a rapid increase of V<sub>SP</sub>, while the trench

270 stays mantle stationary. The backward buckles form faster than forward buckles, in about 3 versus 8

271 Ma for our reference model.

272 From here on, this process repeats itself quasi-periodically with new buckles forming 273 approximately every 10 Ma (Figure 3c-f). This continuous subduction and rollback creates a buckled 274 and thickened slab which slowly enters the lower mantle at an overall low-angle orientation (Figure 275 3d-g). After 70 Ma and 5000 km of subduction, the weak crust that facilitates the modelled 276 subduction (see methods) is entirely consumed, the subducting plate is locked to the overriding 277 plate and subduction stops. The modelled slab detaches and sinks into the lower mantle at a rate of 278 ~1 cm/a, on par with inferred and modelled lower mantle slab sinking rates (Čížková et al., 2012; Van 279 der Meer et al., 2018). Throughout the experiment, and after 70 Ma of modelled convergence, the 280 overriding plate and trench moved ~1000 km in absolute motion, i.e., relative to the mantle, 281 towards the subducting plate.

282 The model with a fixed overriding plate, which suppresses rollback (Figure 3 H-N), shows 283 similar characteristics. The slab is compressed down-dip and rheologically weakened in the transition 284 zone, also resulting in the formation of a second buckle at around t=10 Ma (Figure 3i and 285 Supplementary Movie – Panel B). The tightening of the buckle at the base of the upper mantle 286 coincides with an increase in plate velocity around t=15Ma (Figure 5b). Due to the absence of 287 rollback, the buckled slab is oriented vertically, like previously conceptualised 'slab walls' (Sigloch & 288 Mihalynuk, 2013). The oscillations in  $V_{sP}$  are of lower amplitude, on the order of 2 cm/a, recurring in 289 a  $\sim$ 12 Ma period (Figure 5b). Absolute motion rates and oscillations therein of the subducting plate 290 are similar to the scenario with roll-back but because the upper plate is fixed and roll-back does not 291 add to the net convergence rate, subduction continued for ~90 Ma in model time, after which, the 292 modelled slab detached and descended through the lower mantle with similar rate as in the 293 reference model with rollback.

294

#### 295 **3.2 Plate motion oscillations caused by buckling**

296 The quasiperiodic buckling of the subducting plate in the MTZ causes oscillations in the 297 subduction velocity for both types of models (Figure 5) and in the motion of the overriding plate in 298 the models that allow for roll-back (Figure 5a). Periods of fast V<sub>SP</sub> coincide with tightening of a buckle 299 and steepening of the slab and correspond with minima in the  $V_{UP}$  (Figure 5). We represent the 300 periodicity of these plate motions with an amplitude and period, which we calculate in a 40 Ma 301 time-interval of steady-state oscillations after subduction initiation and initial descend of the slab to 302 the mantle transition zone, and before the end of the experiment (Figure 5). In the reference model 303 with rollback, the subducting plate moved between 20 and 60 Ma with an average  $V_{SP}$  of 5.1 cm/a 304 while oscillating between  $\sim$ 2 and 10 cm/a (Figure 5a). The average amplitude and period of the V<sub>SP</sub> 305 oscillations are 6.8 cm/a and 9.8 Ma (Figure 5a). Motion of the rigid, undeformable overriding plate,

306 follows the oscillatory motion of the retreating trench. In the 20-60 Ma interval the overriding plate 307 has an average V<sub>UP</sub> of 1.8 cm/a towards the subducting plate, with oscillations between ~0 and 3 308 cm/a (Figure 5a). Maxima in trench motion and V<sub>UP</sub> coincide with minima in V<sub>SP</sub>, both occurring 309 during formation of a new forward buckle and the associated shallowing of slab dip. During 310 tightening of the buckle, the slab rolls back from inclined to vertical, associated with a sharp rise in 311 V<sub>SP</sub>, this change in angle is associated with a temporally near-stationary trench, and a resulting 312 decrease in V<sub>UP</sub> towards 0. The total convergence rate (V<sub>c</sub>) then also oscillates (Figure 6a), with an 313 amplitude of 6 cm/a, about 1 cm/a smaller than the amplitude of V<sub>SP</sub>. The motion of the subducting 314 plate accounts for 50-100% of the total convergence, while the overriding plate is only responsible 315 for 50-0% (Figure 6b). The highest contribution of trench motion to the convergence occurs during 316 periods of minimal V<sub>SP</sub>.

317



# 318 319 Figure 5 – Plate motion oscillations

Temporal evolution of the plate motions in both reference models. A) Subduction velocity and
 overriding plate motion of the reference model with rollback, V<sub>SP</sub> oscillates between 2 and 10 cm/a

and  $V_{UP}$  between 0 and 3 cm/a. The reference point subducts at t = 60 Ma and slab detachment

323 occurs around t = 70 Ma. B) Similar as in A but for the reference model with a stationary trench,

- 324 subduction of the reference point occurs at t = 70 Ma and slab detachment at t = 90 Ma. The dashed
- 325 lines indicate the average velocity, which is calculated over the shown 40 Ma time-interval.

326



328 Figure 6 – Total convergence rate

A) Total convergence rate ( $V_c = V_{SP} + V_{UP}$ ) of the reference model with rollback showing smaller amplitudes in the oscillations, red and green lines are the same as in Figure 5A. B) Relative percentages of the total convergence rate for both the subducting plate (green; 100-50%) and overriding plate (orange; 50-0%). Grey line is the same as in A, and uses the y-axis of A.

- - -

327

334 The reference model with a fixed overriding plate (Figure 3h-n), so with a mantle-stationary trench, also shows oscillations in V<sub>SP</sub> (Figure 5b) caused by the buckling of the overall vertical slab in 335 336 the MTZ. In the 40 Ma long time-interval (here, between 30-70 Ma) quasiperiodic buckling occurs 337 with an average  $V_{SP}$  of 5.7 cm/a (Figure 5b), faster than the model with rollback. The oscillations in 338  $V_{SP}$  occur with a period of 12.7 Ma and an amplitude of 1.6 cm/a. This amplitude is more than 4 339 times lower than the amplitude of oscillations in the model with rollback. The freedom to roll back 340 allows for much larger variation in slab dips, and results in higher amplitudes of plate motion 341 oscillations, as well as a higher net convergence rate.

342 343

#### 3.3 How subduction velocity controls plate motion oscillations

344 When lithosphere subducts at a rate of 5-6 cm/a as in our reference models, it can reach the 345 660-discontinuity 13-11 Ma after passing the trench. Higher subduction rates decrease that time 346 interval and increase the amount of subducted slab in the MTZ, creating an accommodation space 347 problem. We performed numerical experiments to evaluate the effect of subduction speed on the formation of buckles and on oscillations in V<sub>SP</sub>. We modified the subduction rate in our experiments 348 349 in two ways. On the one hand, we performed experiments with constant crustal viscosity while 350 varying the age of the overriding and subducting plates. Overriding plate age determines the length 351 of the subduction interface, with larger interfaces giving more resistance against subduction, 352 decreasing subduction velocity. Subducting plate age determines the negative buoyancy, with higher 353 subduction velocities for older plates (Capitanio et al., 2011). On the other hand, we performed

- 354 experiments with constant lithosphere ages (100 Ma) while adopting a constant or a power-law
- 355 crustal viscosity, with lower viscosity yielding higher V<sub>SP</sub> (e.g., Behr et al., 2022; see methods).



356

# 357 Figure 7 – Amplitude and Period of the subducting plate motion

Overview of all models showing the relation the amplitude and period (colour) of V<sub>SP</sub> oscillations have with the average V<sub>SP</sub>. The four types of models shown are with a varying crustal viscosity and rollback (triangles) or a stationary trench (upside-down triangles), and models with changing SP and UP ages with rollback (circles) or a stationary trench (squares). For values of the crustal viscosity and ages of plates see figure 8.

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364 In our numerical experiments with varying plate age, the amplitude and period of the oscillations in

- 365 plate velocity depend on the average subduction velocity (Figure 7, 8a-f). Models with a younger
- 366 overriding plate and therefore a shorter subduction interface, have higher average subduction
- 367 velocities within the 40 Ma long time-period with steady-state, quasi-periodic buckling (Figure 8 a,b).
- 368 These velocities correlate directly to larger amplitudes (2-9 cm/a) in oscillations in the cases with
- rollback (Figure 8e). The cases with a stationary trench show that the amplitude of V<sub>SP</sub> oscillations is
- 370 predominantly determined by subducting plate age while the effect of the overriding plate age is
- 371 limited. V<sub>SP</sub> amplitudes vary between 1-3 cm/a (Figure 8f). Hence, faster-subducting plates have
- 372 higher velocity amplitudes and lower periods of oscillation, and analogous to our reference models,
- this trend is most profound in models that allow rollback, in which the amplitudes are 2-3 times
- 374 larger than in models with a mantle-stationary trench (Figure 7).

The models with a varying constant crustal viscosity show the same trend: higher average  $V_{SP}$ 's leads to larger velocity oscillation amplitudes (Figure 8 c,d) and smaller periods (Figure 8 g,h). Models with a power law crustal viscosity have smaller variations in average  $V_{SP}$  between them than those with a constant viscosity and consequently also smaller variations in oscillation amplitudes, albeit with higher absolute amplitudes (Figure 8 c,d). This is the result of feedback mechanisms between subducting plate velocity and the power law crustal viscosity (Pokorný et al., 2021), which also keeps the period of  $V_{SP}$  oscillations constant (Figure 8 g,h).



383 Figure 8 – Amplitude, Period and V<sub>sP</sub> as function of plate age and crustal viscosity

 $V_{SP} as function of SP and OP ages for models with a moving trench (A) and a stationary trench (B).$ Amplitude of the oscillating V<sub>SP</sub> as function of the average V<sub>SP</sub> for crustal viscosities: 5e19, 1e20, 5e20 (closed triangles) and three power law crustal viscosities (open triangles) in models with a moving trench (C) and a stationary trench (D). Amplitude of the oscillating V<sub>SP</sub> as function of SP and OP plate ages for models with a moving trench € and a stationary trench (F). Period of the oscillating V<sub>SP</sub> as function of the average V<sub>SP</sub> for a varying crustal viscosity in models with a moving trench (G) and a stationary trench (H). 391

392 4. Discussion

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Slabs that subduct with plate motions exceeding the average lower mantle sinking rate of 11.5 cm/a (Van der Meer et al., 2018) inevitably require that slabs shorten and thicken.
Interpretations of geophysical observations and subduction models (cited above), including our own,
show that this occurs through buckling of the slab in the MTZ (Figure 3). During slab buckling, the

results illustrate that this induces alternating phases of slab rollback and stagnation (or advance), as
well as motion of the trench and upper plate (Figure 5a). Our results reveal that these alternating
phases of forward and backward buckling induce variations in subduction rate and subducting plate
motion.

402 High subduction rates occur in our experiments when the slab buckles backward, towards 403 the downgoing plate and adjacent to a previous slab fold. For backward buckling, the 404 accommodation space in the MTZ in which the buckling slab can sink is available as opposed to 405 forward buckling, in which case the lower part of the MTZ is still occupied by previously buckled slab 406 (Figure 4a). As the 410 km phase transition enhances the negative buoyancy of slabs and thus 407 enhances slab pull (Čížková & Bina, 2013) the accommodation in the MTZ for backward buckling 408 allows the slab to force a short (in our reference model < 3 Ma) pulse of high V<sub>SP</sub>, and roll-back. 409 During roll-back, the slab steepens to a vertical orientation accompanied by limited motion of the 410 trench (Figure 4b), or even trench advance if the upper plate rheology would allow it. Once the slab 411 overturns the next forward buckle initiates, during which time MTZ accommodation space 412 decreases. A forward buckle is associated with trench retreat and slab advance in the MTZ, 413 seemingly rotating over a pivot point in the upper mantle (Figure 4a). As a result, V<sub>SP</sub> decreases 414 during a forward buckling slab while  $V_{UP}$  increases. As the slab flattens during this forward buckle it 415 creates accommodation space for the next backward buckle and associated acceleration (Figure 4b). 416  $V_{SP}$  variations in models with a forced stationary trench are smaller because the slab has less 417 variation in the amount of accommodation space in the MTZ. Trench-stationary subduction causes 418 the slab buckling in a vertical column (Figure 3i-n). Basically, the rate and amplitude of plate motion 419 oscillation primarily depends on the average  $V_{SP}$ : the higher, the bigger the space accommodation 420 problem for slab folds in the MTZ. Our experiments with a moving trench and an average  $V_{SP}$  of 6 421 cm/a, i.e., the global average plate velocity (Van Der Meer et al., 2014), reveal rapid oscillations (< 10 422 Ma periods) with large  $V_{SP}$  fluctuations (3-13 cm/a) (Figure 6).

423 The rapid subducting plate motion oscillations that we find in our experiments have similar 424 periods to those recently observed in the high-resolution (0.5-1 Ma) reconstruction of marine 425 magnetic anomalies of the Indian Ocean (DeMets & Merkouriev, 2021). Previous plate 426 reconstructions using stage rotations based on larger stage intervals of 5-10 Ma (Figure 2) (Müller et 427 al., 2019; van Hinsbergen et al., 2011) smoothed out such rapid plate motion changes (Espinoza & 428 laffaldano, 2023; White & Lister, 2012). We illustrate this by sampling V<sub>SP</sub> in our reference 429 experiment with a mobile upper plate: when we sample on a 1-2 Ma resolution, similar to DeMets & 430 Merkouriev (2021) we resolve rapid (< 5 Ma) oscillations in plate motion caused by slab buckling 431 (Figure 9a). However, sampling our  $V_{SP}$  curves at larger, typically used intervals of 5 or 10 Ma

- 432 generates the smooth plate motion history that is widely inferred from plate reconstructions (Figure
- 433 9 b,c).



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434 435 Figure 9 – Sampling intervals for subducting plate velocity

436 Horizontal subducting plate motion for the reference model with rollback and stage velocities if 437 sampled at 2, 5 or 10 Ma intervals.

439 The average  $V_{SP}$  as well as the amplitudes of the plate motion oscillations for the case of 440 India are higher than in our experiments. These differences are likely at least in part explained by the 441 simplicity of our model: the absolute plate motion rate of India may have been much higher than we 442 obtained in our experiments because the Indian plate may have been lubricated at the base by a 443 mantle plume (Kumar et al., 2007; van Hinsbergen et al., 2011), or the subduction interface may 444 have been heavily lubricated by sediments (Behr & Becker, 2018). The buckling behaviour may have 445 differed because Indian subduction rates were not uniform along-strike, but increased eastward (van 446 Hinsbergen et al., 2011) and the lithosphere in the MTZ during the 55-50 Ma ago interval during 447 which the oscillations were reconstructed may have been of continental origin (van Hinsbergen et 448 al., 2019). This could have influenced the effects of the MTZ on slab pull, the rate of slab transfer 449 into the lower mantle, and the amount of accommodation space in the MTZ, which would all 450 influence the oscillation V<sub>SP</sub> amplitude and period in our experiments. 451 An additional difference with our simple experiments is that subduction of the Indian plate

452 occurred at a trench that was not retreating, as in our experiments, but instead slowly moving 453 northwards, i.e. advancing (van Hinsbergen et al., 2019). In our experiments, subduction at a mantle-454 stationary trench occurs with lower amplitude oscillations than those reconstructed by DeMets & 455 Merkouriev (2021). However, the Indian slab may have advanced below the upper plate and 456 retreated without significantly affecting trench motion as in our experiments. Slab buckling 457 combined with trench advance could create an opposite regime as in our experiments, with 458 acceleration during forward buckles and vice versa. Trench motion can even alternate between 459 retreat and advance (Stegman et al., 2010). This could explain the ~1000 km wide north to south 460 tomographic anomaly widely interpreted as the Indian slab (Qayyum et al., 2022). We foresee that 461 these processes may produce variations in MTZ accommodation space even when the trench is 462 nearly stationary. Modelling such additional complexities is beyond the scope of our investigation: 463 with the even higher subduction rates for India than we reproduced in our experiments, the space 464 problem in the MTZ must have been even larger than in our experiments, and we therefore consider 465 buckling a plausible candidate to explain the reconstructed oscillations.

466 In our slab-pull-driven subduction models with a freely moving upper plate we also observe 467 oscillating motion of the trench and upper plate. In our simple experiments, the rigid upper plate is 468 not able to deform, and it thus moves along with the trench where naturally this would lead to 469 changes in stress state, reflected by episodic extensional or contractional upper plate deformation 470 (Billen & Arredondo, 2018; Boutoux et al., 2021; Capitanio et al., 2010; Cerpa et al., 2018; Dasgupta 471 et al., 2021; Lee & King, 2011; Pons et al., 2022; Van Hinsbergen & Schouten, 2021). Such variations 472 may be of interest to the understanding of fluid and magmatic processes affecting the upper plate. 473 For instance, episodic magmatic ponding alternating with migration and flare ups (Chapman et al., 474 2021), and episodic mineralization (Chelle-Michou et al., 2015) and associated pulses in the 475 formation of ore deposits (Wilson et al., 2020) may be the result of such stress state oscillations. 476 Therefore, for subduction zones where slab buckling leads to oscillating trench motion and upper 477 plate deformation, enhanced resolution in marine magnetic anomalies and accompanying 478 reconstructions could lead to a better predictive power in the timing of these magmatic and ore-479 genesis related upper plate processes. In the Andes, alternations on a timescale of ~10 Ma between 480 shortening and trench retreat were recently postulated to result from slab buckling (Pons et al., 481 2022). For Tibet, the only high-resolution deformation records in the relevant time interval of 60-50 482 Ma are from the Qiangtang terrane of northern Tibet, far from the trench (Li, van Hinsbergen, 483 Najman, et al., 2020; Li, van Hinsbergen, Shen, et al., 2020), which on a first order appear to record 484 shortening pulses that coincide with the oscillations (DeMets & Merkouriev, 2021). More high-485 resolution work, for instance in the Xigaze forearc basin, could reveal whether the upper plate may 486 also have recorded short periods of extension.

487 Would all subducting plates then show these oscillating plate motions? Higher-resolution 488 tectonic reconstructions could provide the answer, but we see several reasons why not all ridges 489 that border subducting plates may record such oscillations similarly. The process of buckling at long 490 subduction zones might not occur synchronously along the entire trench. Such a process may explain 491 the oscillating azimuth of India-Asia convergence during the oscillations documented DeMets & 492 Merkouriev (2021). In addition, subduction rate may vary gradually along-strike of a trench (e.g., the 493 west Pacific subduction zones from New Zealand to Kamchatka), and rapidly across triple junctions 494 (e.g., Vaes et al., 2019; van de Lagemaat et al., 2018). Plates like the modern Pacific plate would be 495 less susceptible to the effect of slab buckling in the MTZ, even if the oscillations in a 2D system likely 496 occur. We foresee that oscillations in plate motion are best visible for plates where subduction zones 497 are oriented sub-parallel to spreading ridges and sub-perpendicular to the plate motion direction. 498 Possible candidates for the Cenozoic besides the Indian plate are the Nazca plate (Pons et al., 2022), 499 the Juan de Fuca plate, the Cocos plate, or the Aluk plate (van de Lagemaat et al., 2023) and for 500 earlier times perhaps the Farallon or Kula plates. We consider these targets for high-resolution 501 magnetic anomaly reconstruction to further test the possibilities of slab buckling and the 502 opportunities it may apply to understand mantle and lithosphere dynamics and magmatic and 503 economic geology.

504 Finally, our models show that the rapid oscillations shown by DeMets & Merkouriev (2021) may well 505 be explained by buckling of the subducting slab that results from the space problem caused by the 506 much lower sinking rates of slabs in the lower mantle. This implies that plate motions that exceed 507 lower mantle slab sinking rates, so larger than 1-1.5 cm/a (Butterworth et al., 2014; Van Der Meer et 508 al., 2010; Van der Meer et al., 2018), are resisted from the transition zone downwards. In other 509 words, typical plate motions must be primarily driven in the top few hundred kilometers of the 510 mantle. The 410 km phase transition still enhances slab pull, but at the 660 discontinuity the slab 511 encounters resistance and thickens. In addition, the top 100 km of the Earth also resists plate motion 512 due to friction on the subduction interface or drag resistance from the underlying mantle, therefore 513 plate tectonics must primarily be driven between depths of ~100 and 500 km, or only 6-7% of the 514 Earths radius. This is a remarkably small niche that on Earth apparently has the right conditions for 515 plate tectonics. We foresee that understanding the dynamics of this narrow zone throughout Earth's 516 history holds the key to understand the uniqueness of our planet to start and sustain plate tectonics.

# 517 Conclusions

Buckling of a slab in the mantle transition zone may explain the rapid oscillations in subducting plate
motion recently shown in high-resolution reconstructions. The amplitude and period of these

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- 520 oscillations depend on the average subduction speed and accommodation space in the mantle
- 521 transition zone. Furthermore, it may also cause episodic migration of a trench and rapid deformation
- 522 pulses of the upper plate. This mechanism reveals that slab pull might only be an effective plate
- 523 motion driver in the top few hundred kilometers of the upper mantle.
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# 860 **Open Research**

- All modelling data that is used to produces the figures in this manuscript can be found on Zenodo:
- 862 10.5281/zenodo.10159525
- 863

# 864 Author contributions

- 865 Conceptualization: EvdW, JP, DJJvH
- 866 Methodology: EvdW, JP, HC, APvdB
- 867 Investigation: EvdW, JP
- 868 Visualization: EvdW, JP
- 869 Supervision: HC, DJJvH
- 870 Writing original draft: EvdW, JP
- 871 Writing review & editing: all authors
- 872

# 873 **Competing interests**:

- 874 Authors declare that they have no competing interests.
- 875

#### Supplementary Table 876

877 Table 1 – Model parameters

Table 1

Model parameters			
symbol	Meaning	Value	Units
Upper mantle and oceanic lithosphere rheology			
A <sub>diff</sub>	Pre-exponential parameter of diffusion creep <sup>a</sup>	$1 \times 10^{-9}$	$Pa^{-1} s^{-1}$
Adisl	Pre-exponential parameter of dislocation creep <sup>a</sup>	$31.5 \times 10^{-18}$	$Pa^{-n} s^{-1}$
$E_{diff}$	Activation energy of diffusion creep <sup>a</sup>	$3.35 \times 10^5$	$J mol^{-1}$
$E_{disl}$	Activation energy of dislocation creep <sup>a</sup>	$4.8 \times 10^5$	$J mol^{-1}$
Vdiff	Activation volume of diffusion creep <sup>a</sup>	$4.0 \times 10^{-6}$	$m^3 mol^{-1}$
V <sub>disl</sub>	Activation volume of dislocation creep <sup>a</sup>	$11 \times 10^{-6}$	$m^3 mol^{-1}$
η <sub>diff</sub>	Viscosity of diffusion creep	-	$Pa \ s$
$\eta_{disl}$	Viscosity of dislocation creep	-	$Pa \ s$
$\eta_{u}$	Power-law stress limitor viscosity	-	$Pa \ s$
n	dislocation creep exponent	3.5	-
$\dot{\epsilon}_{y}$	Reference strain rate	$1 \times 10^{-15}$	$s^{-1}$
$\sigma_y$	Stress limit	$2-5 imes 10^8$	Pa
p	Hydrostatic pressure	-	Pa
$n_{\mu}$	Stress limit exponent	10	-
Ř	Gas constant	8.314	$J K^{-1} mol^{-1}$
Т	Temperature	_	К
έπ	Second invariant of strainrate	_	$s^{-1}$
Lower mantle rheology			
Aditt	Pre-exponential parameter of diffusion creep	$1.3 \times 10^{-16}$	$Pa^{-1} s^{-1}$
Edite	Activation energy of diffusion creep <sup>b</sup>	$2 \times 10^{5}$	$J mol^{-1}$
Valee	Activation volume of diffusion creep <sup>b</sup>	$1.1 \times 10^{-6}$	$m^3 mol^{-1}$
Other model parameters	F		
$\eta_c$	Range of constant viscosity crust values	$5 \times 10^{19} - 5 \times 10^{20}$	$Pa \ s$
κ	Thermal diffusivity	$10^{-6}$	$m^2 s^{-1}$
g	Gravitational acceleration	9.8	$m^2 s^{-2}$
<i>θ</i> <sub>0</sub>	Reference density	3416	$kq m^{-3}$
Cn	Specific heat	1250	$J k a^{-1} K^{-1}$
φ Ω0	Surface thermal expansivity	$3 \times 10^{-5}$	$K^{-1}$
Ύ410	Clapevron slope of 410 km phase transition <sup>c</sup>	$3 \times 10^{6}$	$Pa K^{-1}$
7660	Clapevron slope of 660 km phase transition <sup>c</sup>	$-1.5 \times 10^{6}$	$Pa K^{-1}$
δ	Density contrast of 410 km phase transition <sup>d</sup>	273	$ka m^{-3}$
δ_660	Density contrast of 660 km phase transition <sup>d</sup>	341	$ka m^{-3}$
Nonlinear crustal rheology	Domaily consider of the find problem in		
$A_{c}$	Pre-exponential parameter of dislocation creep	$2.5 \times 10^{-17}$	$Pa^{-1} s^{-1}$
$E_c$	Activation energy of dislocation creep	$1.54 \times 10^{5}$	$J mol^{-1}$
V.	Activation volume of dislocation creep	0	$m^3 mol^{-1}$
n.	dislocation creep exponent	2.3	_
τ <sub>-</sub>	Cohesion	$0.25 - 1 \times 10^7$	Pa
u.	Friction coefficient	0.025 - 0.1	_
$\sigma^c$	Stress limit in the crust	_	Pa

(a) Parameters of wet olivine based on Hirth and Kohstedt (2003).
(b) Čížková et al. (2012).
(c) Bina and Helfrich (1994).
(d) Steinbach and Yuen (1995).















Effect of plate ages

Effect of crustal viscosity



